



WEMMH/SB/21 (4/03)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/602.905
	Filing Date	June 24, 2003
	First Named Inventor	James A. HOFF
	Group Art Unit	3727
	Examiner Name	Not yet assigned
Total Number of Pages in this Submission	Attorney Docket Number	1104-750/ RKE-075

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Additional Enclosure (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Small Entity Statement	<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement; PTO 1449 form & copies of cited references.	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Documents		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	James M. Durlacher Woodard, Emhardt, Moriarty, McNett & Henry LLP		
Signature	<i>James M. Durlacher</i>		
Date	September 23, 2003		

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: **September 23, 2003**

Typed or printed name	James M. Durlacher, Reg. No. 28,840		
Signature	<i>James M. Durlacher</i>	Date	September 23, 2003



1104-750.JMD.240957

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)
James A. Hoff) Before the Examiner
Serial No. 10/602,905)
Filed June 24, 2003) Group Art Unit 3727
CLOSURE ASSEMBLY) September 23, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on	
September 23, 2003	
Date of deposit	
James M. Durlacher	
Name of Registered Representative	
<i>James M. Durlacher</i>	
Signature	
September 23, 2003	
Date of Signature	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with Applicants' continuing duty of disclosure under 37 CFR §1.97 (b)(3), the following patents are brought to the attention of the United States Patent and Trademark Office and specifically to the attention of the Examiner assigned to the subject patent application. The submitted documents are listed on the attached PTO Form and a copy of each reference is included for the Examiner's convenience.

U.S. PATENT REFERENCES

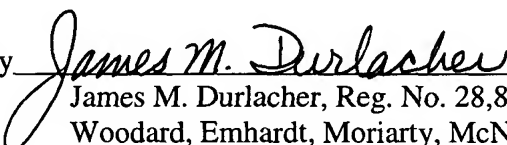
Patent No.	Date	Inventor
2,459,668	01/18/49	Melichar
3,255,916	06/14/66	Rice
3,380,618	04/30/68	Phillips
3,893,487	07/08/75	Engelking
3,905,091	09/16/75	LaRocque
4,105,135	08/08/78	Bradshaw et al.
4,164,304	08/14/79	Roberson
4,117,949	10/03/78	Dwinell
4,711,365	12/08/87	Fomby
4,905,862	03/06/90	Dwinell et al.
5,680,953	10/28/97	Baughman
5,862,936	01/26/99	Johanson
6,065,627	05/23/00	Johanson

PUBLICATIONS

Printout from www.eatel.net , "Coastal Containers Closing Directions", Coastal Containers, Inc., Baton Rouge, LA, pgs. 1-6.
Printout from www.con-techinternational.com , "Products - Drum Closures", Con-Tech International, Inc., New Orleans, LA, pgs. 1-7.
"ViseGrip® Flanges", Rieke Corporation, Auburn, IN, 1991.

This Information Disclosure Statement is being filed within three months of the filing date of the above-identified patent application and prior to the issuance of an Office Action. It is believed that no other fee is required for submission of this Statement. Should any additional fee be required, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

By 
James M. Durlacher, Reg. No. 28,840
Woodard, Emhardt, Moriarty, McNett
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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Sheet 1 of 2

Atty. Docket No.
1104-750/RKE-075

Serial No.
10/602,905

Applicant
James A. HOFF

Filing Date
June 24, 2003

Group
3727

U.S. PATENT DOCUMENTS

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	Filing Date If appropriate
	2,459,668	01/18/49	Melichar	285	55	
	3,255,916	06/14/66	Rice	220	39	
	3,380,618	04/30/68	Phillips	220	39	
	3,893,487	07/08/75	Engelking	138	89	
	3,905,091	09/16/75	LaRocque	29	460	
	4,105,135	08/08/78	Bradshaw et al.	220	288	
	4,164,304	08/14/79	Roberson	220	465	
	4,117,949	10/03/78	Dwinell	220	304	
	4,711,365	12/08/87	Fomby	220	288	
	4,905,862	03/06/90	Dwinell et al.	220	303	
	5,680,953	10/28/97	Baughman	220	288	
	5,862,936	01/26/99	Johanson	220	304	
	6,065,627	05/23/00	Johanson	220	304	

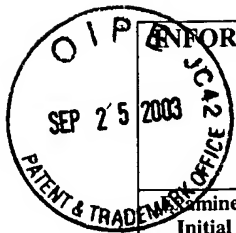
FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

Examiner

Date Considered

*Examiner: initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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(Use several sheets if necessary)

Sheet 2 of 2

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Group
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Examiner
Initial

PUBLICATION

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Printout from www.con-techinternational.com, "Products - Drum Closures", Con-Tech International, Inc., New Orleans, LA, pgs. 1-7.

"ViseGrip® Flanges", Rieke Corporation, Auburn, IN, 1991.

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